



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
 Pierte ROO ) Examiner: Eugene YUN  
 Application No.: 09/920,240 ) Group Art Unit: 2682  
 Filed: August 1, 2001 ) Confirmation No.: 4035  
 For: ACTIVE RESISTIVE SUMMER FOR ) Date: October 7, 2005  
 A TRANSFORMER HYBRID )

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 CFR § 1.56 and the requirements of MPEP § 2001.06(c), and in accordance with the practice under 37 CFR §§ 1.97 and 1.98, the Examiner's attention is directed to the document listed on the enclosed PTO-1449.

In accordance with 37 CFR § 1.97(h), this Information Disclosure Statement is not to be construed as an admission that the information cited is or is considered to be material to patentability as defined in 37 CFR § 1.56(b), nor as an admission that the information constitutes prior art within the meaning of 35 USC §§ 102 and/or 103.

It is respectfully requested that the information listed on the PTO-1449 be considered by the Examiner, and that an initialed copy of the PTO-1449 be returned indicating that such information was considered.

A Fee Transmittal and Credit Card Authorization are being filed concurrently herewith authorizing payment of the \$180.00 IDS fee.

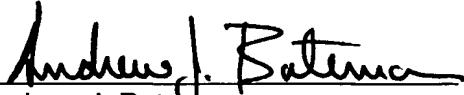
Should the Examiner have any questions, Applicant's undersigned attorney is reachable by telephone in our Washington, D.C. office at (202) 625-3547. The correspondence address of record is provided below.

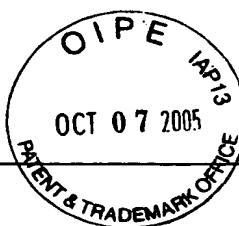
10/12/2005 MBYEYENE1 00000027 09920240  
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IP Docket  
 Katten Muchin Rosenman, LLP  
 1025 Thomas Jefferson St., NW  
 East Lobby, Suite 700  
 Washington, DC 20007-5201  
 Facsimile No.: (202) 298-7570  
 Customer No.: 28285

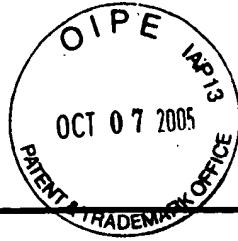
Respectfully submitted,  
 KATTEN MUCHIN ROSENMAN, LLP

By:

  
 Andrew J. Bateman  
 Registration No. 45,573



\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



2682/11  
CC

PTO/SB/21 (08-00)

**MODIFIED**

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/920,240
		Filing Date	08/01/2001
		First Named Inventor	Pierte ROO
		Group Art Unit	2682
		Examiner Name	Eugene Yun
Total Number of Pages in This Submission	5	Attorney Docket Number	MP0039.CIP

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Credit Card Authorization	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Associate Power of Attorney	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement with PTO 1449	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

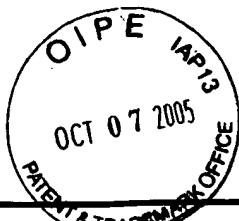
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Andrew J. Bateman Registration No.: 45,573
Signature	
Date	10/07/3005

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name		
Signature		Date



# FEE TRANSMITTAL for FY 2005

Effective 10/01/2005. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**180.00**

## Complete if Known

Application Number	09/920,240
Filing Date	08/01/2001
First Named Inventor	Pierte ROO
Examiner Name	Eugene Yun
Art Unit	2682
Attorney Docket No.	MP0039.CIP

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account **50-1710**Account Name **KATTEN MUCHIN ROSENMAN, LLP**

## The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments

Charge above Deposit Account with any additional fees necessary UNDER 37 CFR 1.16 AND/OR 1.17 to maintain pendency of this application.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 300	2001 150	Utility Filing Fee	
1111 500	2111 250	Utility Search Fee	
1311 200	2311 100	Patent Examination Fee	

SUBTOTAL (1) (\$)**0.00**

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee	Fee Paid
	- 20** =	x 50.00 =	
Independent		x 200.00 =	
Multiple Dependent		360.00 =	

Large Entity	Small Entity	Description
Fee Code (\$)	Fee Code (\$)	
1202 50	2202 25	Claims in excess of 20
1201 200	2201 100	Independent claims in excess of 3
1203 360	2203 180	Multiple dependent claim, if not paid
1204 200	2204 100	** Reissue independent claims over original patent
1205 50	2205 25	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**0.00**

\*\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

## Large Entity Small Entity

Fee Code	Fee \$	Fee Code	Fee \$	Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petition to revive - unavoidable (1.17(l))	
1453	1,500	2453	750	Petition to revive - unintentional (1.17(m))	
1501	1,400	2501	700	Utility issue fee (or reissue)	
1502	800	2502	400	Design issue fee	
1503	1,100	2503	550	Plant issue fee	
1460	130	1460	130	Petitions to the Director	
1807	50	1807	50	Processing fee - provisional app (1.17(q))	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (1.129(a))	
1814	130	2814	65	Statutory Disclaimer	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Req for expedited examination - Design App	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**180.00**

## SUBMITTED BY

Name: Andrew J. Bateman

Registration No.: 45,573

Telephone: (202) 625-3547

Signature: Andrew J. Bateman

Date: 10/07/2005